EnforceMOP: A Runtime Property Enforcement System for Multithreaded Programs

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ABSTRACT
Multithreaded programs are hard to develop and test. In order for programs to avoid unexpected concurrent behaviors at runtime, for example data-races, synchronization mechanisms are typically used to enforce a safe subset of thread interleavings. Also, to test multithreaded programs, developers need to enforce the precise thread schedules that they want to test. These tasks are nontrivial and error prone.

This paper presents EnforceMOP, a framework for specifying and enforcing complex properties in multithreaded Java programs. A property is enforced at runtime by blocking the threads whose next actions would violate it. This way the remaining threads, whose execution is safe, can make global progress until the system eventually reaches a global state in which the blocked threads can be safely unblocked and allowed to execute. Users of EnforceMOP can specify the properties to be enforced using the expressive MOP multi-formalism notation, and can provide code to be executed at deadlock (when no thread is safe to continue).

EnforceMOP was used in two different kinds of applications. First, to enforce general properties in multithreaded programs, as a formal, semantic alternative to the existing rigid and sometimes expensive syntactic synchronization mechanisms. Second, to enforce testing desirable schedules in unit testing of multithreaded programs, as an alternative to the existing limited and often adhoc techniques. Results show that EnforceMOP is able to effectively express and enforce complex properties and schedules in both scenarios.

Categories and Subject Descriptors
D.2.5 [Software Engineering]: Testing and Debugging

General Terms
Verification, Reliability, Experimentation

Keywords
Enforcement, Testing, Concurrency

1. INTRODUCTION
Multithreaded programs utilize multiple threads to accomplish jobs faster than sequential programs. However, multithreaded programs are afflicted with concurrency bugs. These bugs are caused by the inherent non-determinism in thread scheduling, so it is hard to detect and fix them. Although there is a large amount of work tackling this problem, ranging from static/dynamic analysis [12, 23, 24], to testing [18, 22, 30, 35, 41–43], and to state space exploration [26, 37], these have their own limitations: testing and analysis approaches suffer from false positives and negatives, and exploration does not scale and depends on program inputs.

On the other hand, runtime verification [9, 27, 44] combines formal methods and testing to check critical properties of a program dynamically. The key idea is that software system properties, often defined using temporal formalisms, can be used to generate program monitors. Any property violation is reported or resolved immediately rather than waiting for a bug to manifest. Runtime verification has been proven to be a promising technique to increase software reliability, with a large number of runtime verification techniques and tools developed, including Tracematch [1], PQL [36], PTQL [25], MOP [15] and Hawk/Eraser [19], among many others.

While runtime verification can effectively detect property violations, and sometimes even recover from such violations, unfortunately it provides no guarantee that properties are never violated. This is particularly problematic in multithreaded systems, where non-deterministic thread scheduling may hide potentially critical errors. For example, consider a concurrent database where one thread is in charge of authorizing users, and each user is assigned a thread for fetching data. The underlying property is that any user should be authorized before getting data, so for any given user the corresponding thread should wait until the first thread finishes authorizing. Runtime verification approaches can monitor the program execution and report violations of this property for each user, but cannot prove correctness: a successful run gives no guarantee that other runs, under different thread schedules, will also be successful.

The conventional approach is to employ language-specific synchronization mechanisms or adhoc sleep commands to enforce such properties when developing or testing multithreaded programs. For instance, Java provides a synchronized keyword, a Thread.sleep() method, and several other classes in the java.util.concurrent package. However, there are certain limitations when using these constructs to enforce arbitrary properties in multithreaded programs: (1) it is non-trivial and error-prone to use these...
constrains when the property to be enforced is complex, as shown later in this paper; and (2) all these constrains are mingled with the original program, so it is not modular and overall hard to identify and reason about the underlying properties that the developers are attempting to enforce.

In this paper we present EnforceMOP, a novel framework for enforcing complex properties in multithreaded programs. The properties are enforced at runtime and do not require to modify the source code, so they can be modularly maintained. We show that EnforceMOP can be used effectively both in developing and in testing multithreaded programs.

This paper makes the following specific contributions:

Technique: We propose a technique to enforce arbitrarily complex safety properties in multithreaded programs. The properties can be expressed using various formalisms.

Implementation: EnforceMOP is implemented in Java on top of JavaMOP [16], a state-of-the-art runtime verification framework. Following the philosophy of JavaMOP, EnforceMOP is implemented in a logic-independent way.

Evaluation: We evaluated the effectiveness of EnforceMOP in two aspects. First, as a framework to enforce general properties when developing multithreaded programs, specifically to enforce correct behaviors of such programs. Second, as a testing framework to enforce thread schedules when unit testing multithreaded programs, specifically to enforce schedules in 185 existing multithreaded unit tests, and compared it with several existing testing frameworks.

Section 2 describes the usage of EnforceMOP on two real examples. Sections 3 describe the underlying techniques and implementation of EnforceMOP. Section 4 shows several applications of EnforceMOP and evaluates its effectiveness as a tool to specify schedules in unit testing multithreaded programs. We then discuss limitations and future work in Section 5, followed by related work and conclusion.

2. MOTIVATION

EnforceMOP can be used (1) to enforce general properties and (2) to enforce specific testing schedules in multithreaded systems. Here we discuss two real world examples, one in each category, and show how EnforceMOP is used in each.

2.1 Enforcing General Properties

As stated in JavaDoc, an ArrayList is not allowed to be iterated and structurally modified at the same time [40].

The iterators returned by this class’s iterator and listIterator methods are fail-fast: if the list is structurally modified at any time after the iterator is created, ... the iterator will throw a ConcurrentModificationException.

However, it can be very easy for developers to violate this. Moreover, it can be difficult to find and fix this error in multithreaded programs, because: (1) when using ArrayList, programmers are unaware of how it will be used in other threads; (2) the non-deterministic behavior of multithreaded programs makes it harder to reproduce and debug the problem. For example, as shown in a bug report in JFreeChart [38], one thread is iterating an ArrayList while another thread is attempting to call add() on the same ArrayList concurrently. As a result, a ConcurrentModificationException is non-deterministically thrown.

We can easily state the property of safe iteration in JavaMOP [15, 16], as shown in Figure 1 (ignore the gray areas for now, which are parts of the EnforceMOP extension). Monitoring-oriented programming (MOP) is a generic multi-formalism monitoring framework, which takes an implementation and a set of specifications as input, and checks whether the implementation violates the specifications at run time. JavaMOP is the Java instance of MOP, currently using AspectJ [33] for event specification and instrumentation. As shown in Figure 1, a JavaMOP specification consists of four parts. The first is the specification header, with modifiers and parameters. Each parameters instance yields a monitor instance. Here, the Collection and Iterator parameters indicate that a different monitor will be generated for each combination of instances of these two parameters. Monitors corresponding to different parameter instances will not interfere with each other. More details can be found in [15, 16]. The second part describes all the relevant events, which serve as an abstraction of the running program. Those events drive the monitor from one state to another state.

The third part is the actual property, starting with the logic plugin in which it is stated. In Figure 1 we use the finite state machine (FSM) plugin to state the property depicted in Figure 2. A monitor begins with the Init state after an iterator is created for an ArrayList instance. Now if next() is called on the iterator then the monitor enters the Unsafe state. Any transitions not defined in the FSM will cause the monitor to enter a default fail state, indicating ArrayList was modified while an iteration is in progress. Method hasNext() returns false when the iterator has finished its job (we assume hasNext() is always called before

```java
enforce SafeListIteration(Collection c, Iterator i) {
    creation event create after(Collection c) returning(Iterator i) :
        call(Iterator iterable+.iterator()) & & target(c) {}
    event modify before(Collection c) :
        (call(* Collection+.add(...) ||
            call(* Collection+.clear(...) ||
            call(* Collection+.offer ...) ||
            call(* Collection+.pop(...) ||
            call(* Collection+.push (...) ||
            call(* Collection+.remove (...) ||
            call(* Collection+.retain(...) ||
            & & target(c) {}
    event next before(Iterator i) :
        call(* Iterator+.next()) & & target(i) {}
    event hasnextfalse after(Iterator i) returning(boolean b) :
        call(* Iterator+.hasNext()) & & target(i) & & condition(!b) {}
    fsm:
        na []
        create -> init
        init []
        next -> unsafe
        hasnextfalse -> safe
        unsafe []
        next -> unsafe
        hasnextfalse -> safe
        safe []
        modify -> safe
        hasnextfalse -> safe
        next -> safe
    @nonfail {}
    @deadlock { System.out.println("Deadlock detected!"); }
}
```

Figure 1: Safe List Iteration Specification
next(), which is common practice), generating event hasNextFalse that makes the monitor enter its Safe state, indicating that modifications to the ArrayList are now allowed.

EnforceMOP has been purposely designed to require minimal learning effort from existing JavaMOP users. It should take less than one minute to change an existing JavaMOP specification into an EnforceMOP specification that enforces rather than monitors the former in multi-threaded systems.

First, one needs to use the new enforce modifier (grayed in Figure 1). Second, one has to specify the desired state or group of states which the monitor should not be allowed to leave. Third, one may optionally use the new @deadlock handler to provide code to be executed in case of deadlock. We discuss the latter two in more detail below.

EnforceMOP enforces monitors to remain in certain states by controlling thread schedules. JavaMOP already allows users to associate code to monitor states, to be executed when the monitor reaches those states. Using the same notation, EnforceMOP enforces the monitor to never leave the specified states. Each logic plugin provides and documents its own monitor state names. The FSM plugin allows users to define and name groups of states, and provides a predefined group of states named nonfail including all the states except fail. In our example, we state that we want EnforceMOP to never allow the monitors to leave their nonfail group of states. If a monitor attempts to execute a transition not shown in Figure 2, for example execute event modify in state unsafe, the thread scheduling code generated by EnforceMOP will block the unsafe thread and thus guarantee safe iteration behaviors. For example, when one thread is iterating over the list so the monitor is in the unsafe state, any other thread attempting to modify the same list will get blocked until the end of the iteration is reached; then they are unblocked and allowed to perform their modifications.

Since EnforceMOP blocks threads during execution, it may directly or indirectly cause program deadlock. For example, when the specified property is impossible to enforce (that is, any thread schedule yields an execution that violates the property), all threads will eventually block, resulting in a deadlock. The @deadlock handler tells the monitor what to do when a deadlock occurs. Here we chose to output an error message when a deadlock happens, but in general one can execute any code (shutdown the system, restart a certain thread, etc).

2.2 Enforcing Specific Testing Schedules

When writing a unit test for a multithreaded program, it is vital to have the ability to specify and enforce a desired thread schedule when running that test. Consider the real-life multithread test in Figure 3, borrowed from the TCK unit tests of SynchronousQueue in java.util.concurrent.

SynchronousQueue is a special kind of queue where the thread executing put blocks when the queue is full and the thread executing take blocks when the queue is empty. Thread putThread is calling put inside a loop to fill the queue. When the queue is full, putThread blocks. The desired thread schedule is: the main thread first waits for putThread to block, then takes one element and checks it (line 18), then waits for putThread to block again, and then interrupts it. This schedule is achieved in the TCK unit test using sleep statements, which as discussed in [30] and in Section 4.2 are non-modular, unreliable and slow.

EnforceMOP is an ideal vehicle to enforce specific testing schedules for multithreaded unit tests. The idea is to separate the functionality of the unit test from the desired schedule, and to implement the former as an unrestricted program (e.g., by removing the grayed sleep statements in Figure 3) and to enforce the latter with EnforceMOP. Figure 4 shows the EnforceMOP specification of the schedule meant in Figure 3. The event beforeput is generated right before calling method put, and events beforeinterrupt and beforetake right before calling methods interrupt and take, respectively. EnforceMOP defines a new pointcut, threadBlocked, telling the thread that is executing the event to wait until the specified thread is blocked. In this example, when the main thread is about to call the method take or interrupt, it waits until putThread gets blocked. We used the Extended Regular Expression (ERE) plugin (+ means one or more repetitions) to specify the actual schedule (line 19). Thus, the main thread blocks before it calls the method take until event beforeput occurs at least once and putThread blocks, then it unblocks and checks the assertion, and then it blocks again before it calls the interrupt until beforeput occurs and putThread blocks. The desired schedule is thus specified modularly, reliably and, as seen in Section 4.2, efficiently.

As discussed later in this paper, it is not easy to use existing multithreaded testing frameworks to specify this particular schedule, because it involves a loop. EnforceMOP can support repeating events in a thread schedule using the bare capabilities of the its logic plugins, e.g., the ERE +.
enforce SynchronousQueueTest testPutWithTake() {
    String putThread = ""
    event beforeThreadInterrupt before() {
        call(+ Thread+.interrupt()) && threadBlocked(putThread)
    }
    event beforeTake before() {
        call(+ SynchronousQueue+.take()) && threadBlocked(putThread)
    }
    event beforePut before() {
        call(+ SynchronousQueue+.put(..)) {
            if (putThread.equals("")) {
                putThread = Thread.currentThread().getName();
            }
        }
    }
    ere : beforePut + beforeTake + beforePut + beforeInterrupt
    @nonfail {
    } finally {
        @deadlock { System.out.println("Deadlock detected!"); }
    }
}

Figure 4: EnforceMOP Schedule for Test in Figure 3

EnforceMOP has been implemented independent of specification formalisms to support enforcing arbitrarily complex properties. Those properties can be application-independent (such as the safe list iteration property above) or application-specific (such as the specific schedule in the multithreaded unit test above). Different property specification formalisms have different expressiveness, and the flexibility to use any of them helps users specify a wide variety of properties precisely and elegantly. For example, we show that FSM cannot express some useful properties which are expressible with other formalisms. Additionally, EnforceMOP supports parametric specifications, so different (enforcing) monitor instances are created for different parameter instances.

EnforceMOP can be thought of as a semantic-based synchronization approach, complementary to the traditional syntax-based synchronization approach: the semantics is embodied in the formal specification for each property. EnforceMOP allows developers to declaratively and modularly state the actual properties they want to enforce in their programs, and thus by avoiding over-synchronization it has the potential to be more efficient than traditional synchronization mechanisms, as empirically shown later in this paper.

3. APPROACH AND IMPLEMENTATION

Here we give an overview of EnforceMOP, with particular emphasis on how it smoothly integrates with JavaMOP. The key challenge of this integration was to design the enforcement mechanisms in a formalism-independent way. Figure 5 recalls the overall architecture of JavaMOP. It consists of a Java-specific client and language-independent logic plugins. The logic plugin manager makes available to the client various logic plugins (discussed shortly), by taking as input a formula written in a specific logic and outputting language-independent monitoring pseudocode. This pseudocode is then used to generate Java and AspectJ code, which is finally woven into the original program to monitor.

3.1 Logic Plugins and Enforcement Categories

Each EnforceMOP specification requires a property over the specified events, formalized using one of the available logic plugins. Some formalisms are more convenient or more efficient than others in some situations. EnforceMOP currently supports for enforcement all the logic formalisms supported by JavaMOP for monitoring. We briefly recall them:

Finite State Machine (FSM): A finite state machine consisting of a set of states and a set of state transitions. Each transition is triggered by an event.

Extended Regular Expression (ERE): A regular expression extended with complement; each letter is an event.

Linear Temporal Logic (LTL): A future time linear temporal logic formula describing good or bad prefixes.

Past Time Linear Temporal Logic (PTLTL): A linear temporal logic formula with temporal operators referring to the past states of the execution trace.

Context Free Grammar (CFG): A context free grammar defined in BNF, where each terminal is an event.

String Rewriting System (SRS): Turing-complete string rewriting formalism, where each alphabet is an event.

Once a specific logic formalism is chosen, the next step is to choose in which way the property is enforced. For example, one can specify the correct behaviors of the system, and enforce the monitor to always obey the specification; alternatively, one can specify the adverse behaviors of a system, and enforce the monitor to never satisfy the specification. To accommodate all the existing logic plugins, EnforceMOP provides a set of pre-defined categories (a category can be viewed as a set of monitor states) to be enforced. As shown in Table 1, different logic formalisms have different corresponding categories. We describe each pre-defined category:

fail: When the monitor encounters an event not accepted in the current state (in FSM), or the current trace does not match any prefix of the given pattern (in ERE and CFG). In SRS, fail can be defined by the user.

nonfail: The opposite of fail, when the incoming event is accepted by the current state, or the current trace matches one prefix of the given pattern.
Table 1: Predefined Categories for each Logic Plugin

<table>
<thead>
<tr>
<th>Logic</th>
<th>Support Categories</th>
</tr>
</thead>
<tbody>
<tr>
<td>FSM</td>
<td>fail/nonfail</td>
</tr>
<tr>
<td>ERE</td>
<td>fail/nonfail/match/nonmatch</td>
</tr>
<tr>
<td>LTL</td>
<td>violation</td>
</tr>
<tr>
<td>PTTL</td>
<td>violation/validation</td>
</tr>
<tr>
<td>CFG</td>
<td>fail/nonfail/match/nonmatch</td>
</tr>
<tr>
<td>SRS</td>
<td>fail/nonfail/succeed</td>
</tr>
</tbody>
</table>

**succeed**: In SRS, *succeed* is defined by the user to trigger when certain patterns are matched.

**match**: Corresponds to a situation wherein the trace matches the entire specified pattern.

**nonmatch**: Corresponds to a situation wherein the trace does not match the entire specified pattern.

**violation**: Occurs when the trace is not a prefix of any trace that satisfies the given formula in LTL and PTTL.

**validation**: Corresponds to a situation wherein the trace satisfies the given formula in PTLTL.

Some plugins allow users to define their own categories, which can then be enforced using EnforceMOP. For example, FSM allows to define an alias of a group of states. EnforceMOP can then enforce the monitor to stay in one of those.

3.2 The Property Enforcing Algorithm

The key challenge in the design and development of EnforceMOP was to engineer its enforcement mechanism to work in a logic-formalism-independent way, to allow its users to choose any of the specification formalisms above for their properties and to enforce any of their categories. The problem is that different logic formalisms have different underlying representations of their monitors; for example, FSM uses lists of arrays to represent states and transitions, while CFG uses stacks to represent pushdown automata. However, all monitors share a common interface: take any given event and trigger a corresponding (logic-specific) transition.

The key idea of our monitor-independent enforcing algorithm is quite simple: use the common interface with a *clone* of the original monitor to decide whether to allow the current event to be executed on the original monitor, or to block the current thread. The algorithm is presented in Figure 6. The new event is sent to the cloned monitor, to check using its logic-specific semantics, which is irrelevant to EnforceMOP, whether the property we want to enforce would be violated if we let the event go through. If yes, then we block the current thread. If not, then it is safe for the original monitor to execute this event, so we let the event go through. We invoke the blocked thread and repeat the process above whenever a new event is generated in any other thread. Since a monitor is shared between different threads, its status may be changed by events executed in other threads. Whenever we find out that executing the pending event on the cloned monitor will not violate the property we want to enforce, we will unblock the thread and resume its execution.

3.3 Deadlock Detection

When enforcing a property, it could be possible that all the threads get blocked by EnforceMOP, so the program deadlocks. This happens when the program reaches a state in which any event to be executed by any thread would violate the property. Since property violations can mean anything depending upon the application and the property, our approach is to provide the mechanism and let the user decide how to use it, that is, how to proceed at deadlock. Specifically, EnforceMOP provides an on-the-fly deadlock detection mechanism which works as follows. Every newly started thread is recorded in a global map. A separate deadlock detection thread checks this map periodically. When all the threads in the map are blocked, a deadlock occurred. The @deadlock handler serves like any other JavaMOP handlers, so users can take arbitrary actions when a deadlock happens; for example, restart the system or print error messages.

3.4 Implementation

We implemented EnforceMOP in Java as an extension of JavaMOP. JavaMOP takes a property file as input and generates an AspectJ file that contains monitor, recovery and instrumentation code, which is then compiled and woven into the original program using any AspectJ compiler. We added *enforce* as a new keyword modifier to JavaMOP properties, in a way that any existing JavaMOP property can be turned into an EnforceMOP by only adding the *enforce* modifier. To generate code to enforce a property, we extended the code generator in JavaMOP with a new class, `EnforceMonitor`, which is responsible for generating all the code to enforce a property when the *enforce* modifier is used.

As already noted in previous work on specifying thread schedules [30,43], it is crucial to have the ability to trigger an event when a specific thread gets blocked. For that reason, we added a new pointcut to EnforceMOP, *threadBlocked*. It takes a thread name as argument and triggers an event in the monitor only when that specific thread is blocked. We implemented this by using the *threadStart* pointcut of JavaMOP to add any thread to a global thread map when it starts. Then *threadBlocked* is easily implemented by polling the state of that specific thread in the map.

4. APPLICATIONS AND EVALUATION

We envision EnforceMOP to be used: (1) as a framework to enforce general complex safety properties at runtime; and (2) as a testing framework to enforce specific schedules when unit testing multithreaded applications. We next evaluate the effectiveness of EnforceMOP in these two aspects. We first present a number of applications using EnforceMOP to enforce general properties, then we use it to enforce specific testing thread schedules and compare it with several other multithreaded testing frameworks.
4.1 Enforcing General Properties

4.1.1 Safe Iteration

As shown in Figures 1 and 2, EnforceMOP can be used to guarantee safe iteration of a collection in multithreaded programs. Motivated by a real bug in JFreeChart [38], we used EnforceMOP to specify and enforce correct behaviors of iterating a collection in multithreaded programs. In the test case attached with the bug report, two threads are created and one of them adds a new element to the collection while the other iterates through the collection. These two actions are repeated many times, so in the original program the ConcurrentModificationException is thrown almost every time when the test case executes. After we applied the property in Figure 1 using EnforceMOP, the exception never gets thrown after 100 times of execution of the same test case.

4.1.2 Mutual Exclusion

Another bug in JFreeChart [39] is caused by concurrent execution between any modification method and hashCode on the same ArrayList. The root cause of this bug is similar to the previous one: JDK’s hashCode method iterates through all the elements of the list in order to compute the hash value of the whole list. So a ConcurrentModificationException will be thrown if hashCode and any other modification method are called at the same time. However, since the iteration of the list is encapsulated in hashCode, what users actually want is the mutual exclusion only between the execution of hashCode and the execution of other modification methods. This cannot be easily done using Java synchronization mechanisms. Suppose we only want the execution of hashCode and any other modification method to be mutually exclusive, but any other pairs of methods to be allowed to execute concurrently. If we blindly use the synchronized keyword on all these then all the methods become mutually exclusive of each other, thus over-synchronizing the program and harming performance (for example, two threads could safely execute hashCode concurrently).

One can try to use a ReadWriteLock from j.u.c instead, for example to use ReadLock in hashCode and WriteLock in all modification methods. However, concurrent execution between any two modification methods would still be prohibited, thus reducing the potential for parallelism\(^1\). Mutual exclusion is a common property desired in multithreaded programs, but without careful consideration it is very easy to over-synchronize and thus hurt the performance.

Figure 7 shows how to enforce mutual exclusion for this specific case using EnforceMOP with the CFG plugin. The property is parametric in the list, so operations on different list instances will not interfere with each other. Since we want to enforce mutual exclusion between method calls, we use both before and after pointcuts to describe events. There are four types of events in this property: beforehashCode and afterhashCode indicate the start and end of the execution of hashCode, and beforemodify and aftermodify represent the start and end of all the modification methods on ArrayList. The property is defined using a CFG, which allows us to pair the start and the end events of the execution of hashCode or of modification methods. While the execution of hashCode is in progress (event afterhashCode has not been encountered), the execution of any modification methods is not allowed (event beforemodify is not allowed).

Although the SRS plugin is the most expressive formalism available with EnforceMOP (it is Turing-complete), we often found it in our experiments that SRS is quite convenient to specify even simpler properties. For example, we can replace the CFG in Figure 7 with the following equivalent SRS:

\[
\text{srs : }
\begin{align*}
\text{beforemodify aftermodify} & \rightarrow \#\text{epsilon} \\
\text{beforehashcode afterhashcode} & \rightarrow \#\text{epsilon} \\
\text{beforemodify afterhashcode} & \rightarrow \#\text{fail} \\
\text{beforehashcode aftermodify} & \rightarrow \#\text{fail} \\
\text{beforemodify beforehashcode} & \rightarrow \#\text{fail} \\
\text{beforehashcode beforemodify} & \rightarrow \#\text{fail}
\end{align*}
\]

The SRS rules apply on the trace as it is being generated to keep it in a canonical form. In our case, consecutive event pairs beforehashcode and afterhashcode, and beforemodify and aftermodify, will dissolve (#epsilon is the empty string), and the other four event pairs will force the monitor to fail. In Figure 7 we enforce the monitor to never enter its fail state (line 32), whenever a thread wants to call a modification method while a hashCode method call is in progress, EnforceMOP will block that thread. Note that we only make hashCode and the group of the modification methods mutually exclusive, but no more than that. For example, the sequences beforehashcode beforehashcode afterhashcode aftermodify and beforemodify beforemodify aftermodify aftermodify are both accepted. This allows maximum par-

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\(^1\)The concurrent use of ArrayList is known to be problematic; one should instead use concurrent data-structures from J.u.c. We use it here only to show how to enforce mutual exclusion between groups of methods with EnforceMOP.

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### Figure 7: Mutual Exclusion between HashCode and List Modification Methods using CFG

```
enforce SafeListCFG(List l) {
  event beforehashcode before(List l) {
    call(+ Object+.hashCode(..)) && target(l) {}
  }
  event afterhashcode after(List l) {
    call(+ Object+.hashCode(..)) && target(l) {}
  }
  event beforemodify before(List l) {
    \{ 
    \begin{align*}
    \text{call(+ List+.add(..))} & \rightarrow \#\text{epsilon} \\
    \text{call(+ List+.remove(..))} & \rightarrow \#\text{epsilon} \\
    \text{call(+ List+.retain(..))} & \rightarrow \#\text{fail} \\
    \text{call(+ List+.clear(..))} & \rightarrow \#\text{fail} \\
    \text{call(+ List+.set(..))} & \rightarrow \#\text{fail}
    \end{align*}
  \} && target(l) {}
  }
  event aftermodify after(List l) {
    \{ 
    \begin{align*}
    \text{call(+ List+.add(..))} & \rightarrow \#\text{epsilon} \\
    \text{call(+ List+.remove(..))} & \rightarrow \#\text{epsilon} \\
    \text{call(+ List+.retain(..))} & \rightarrow \#\text{fail} \\
    \text{call(+ List+.clear(..))} & \rightarrow \#\text{fail} \\
    \text{call(+ List+.set(..))} & \rightarrow \#\text{fail}
    \end{align*}
  \} && target(l) {}
  }
  cef : 
  \begin{align*}
  S & \rightarrow A S \mid B \mid \epsilon_s \text{, } \epsilon_s \text{, and } \epsilon_f \\
  A & \rightarrow A \text{ beforehashcode A afterhashcode } \epsilon_s \\
  B & \rightarrow B \text{ beforemodify B aftermodify } \epsilon_f
  \end{align*}
  \} \\
  \text{Nonfail} \{
  \text{Deadlock} \{ \text{System.out.println("Deadlock detected!");} \}
  
  
  

```
enforce SafeAppendSRS(Category c) {
    event beforeappend before(Category c) : 
        call(+ Category+.append(..)) & & target(c) ()
    event afterappend after(Category c) : 
        call(+ Category+.append(..)) & & target(c) ()
    event beforemodify before(Category c) : 
        call(+ Category+.addAppender(..)) ||
        call(+ Category+.removeAppender(..)) ||
        call(+ Category+.removeAllAppenders(..)) & & target(c) ()
    event aftermodify after(Category c) : 
        call(+ Category+.removeAppender(..)) ||
        call(+ Category+.removeAllAppenders(..)) & & target(c) ()
    srs : 
        beforemodify aftermodify -> #epsilon .
        beforeappend afterappend -> #epsilon .
        beforemodify afterappend -> #fail .
        beforeappend aftermodify -> #fail .
        beforemodify beforemodify -> #fail .
        beforeappend beforemodify -> #fail .
    @nonfail ()
    @deadlock { System.out.println("Deadlock detected"); }
}

Figure 8: Mutual Exclusion Property between Method Pairs in Log4J using SRS

Table 2: Test execution time (ms) for different synchronization mechanisms

<table>
<thead>
<tr>
<th></th>
<th>No Sync</th>
<th>Original (Over-Sync)</th>
<th>EnforceMOP</th>
<th>ReadWriteLock</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>44.9</td>
<td>590.8</td>
<td>49.7</td>
<td>221.3</td>
</tr>
</tbody>
</table>

We completely removed the usage of synchronized and used EnforceMOP instead to specify precisely the desired synchronization between those method pairs. The property is written using SRS and is shown in Figure 8. We first group the methods into two sets: methods that will not modify the list (append) and methods that will modify the list (addAppender, removeAppender and removeAllAppenders). Then we define events to mark the start and end of those methods. The property is similar to the previous one, except one more rule: beforemodify beforemodify -> #fail. This prevents two modification methods (e.g., addAppender and removeAppender) from happening in parallel, to avoid incoherence. We disallow the parallel execution of any modification method and append, but we do allow parallel execution between append methods. This increases parallelism and matches the intention of the developer.

We wrote a test case to reproduce the performance problem in [7] caused by over-synchronization. We created 50 threads, half of them calling method append and another half calling methods addAppender and removeAppender in parallel. We collected running time with the following configurations: the original over-synchronized code with the use of synchronized; EnforceMOP enforcing proper synchronization as shown in Figure 8; a ReadWriteLock implementation proposed by the developer in the bug report and the original code with all synchronized keywords completely removed as a base line to show the performance overhead. For each configuration we run the test case 10 times and get the average running time. Results are shown in Table 2.

From the results we can see that EnforceMOP performs much better than the original over-synchronized version, since it increases the maximal parallelism of the application. EnforceMOP also outperforms ReadWriteLock. Since the parallelism allowed by EnforceMOP is the same as with ReadWriteLock, we think the reason for our better performance is due to the fact that ReadWriteLock in Java involves calling a lot of library code and maintaining the lock status (since it’s reentrant), while JavaMOP is highly optimized.

4.1.4 Dining Philosophers

Five philosophers sit next to each other around a round table. There are five forks placed between each pair of adjacent philosophers. Each philosopher needs to pick up the two forks around him to eat and they are allowed to eat at the same time. Each fork can only be used by one philosopher at any time. A deadlock happens when each philosopher picks up a different fork at the same time, and all of them are attempting to pick the other to start eating.

To implement the dining philosophers problem, locks are typically used to enforce the property that one fork can only be taken by one philosopher at any time. Instead, we first use EnforceMOP to enforce this property, so no synchronization code is needed in the program at all. Then we enforce the property stating that at most four philosophers can eat at the same time, which guarantee deadlock freedom.

Synchronization Free Implementation: The sketch of our code is shown in Figure 9. Each philosopher is represented by a Runnable object and runs concurrently. A philosopher stars eating after he grabs his left fork first and then his right fork next. Then he also releases his left fork first and his right fork second. There is no synchronization or lock used in the source code, so the correctness property of dining philosophers—no folk can be taken by two philosophers at the same time—is not guaranteed.

We use EnforceMOP to enforce the property of exclusive use of forks shown in Figure 10. This property is parametrized by a Fork instance. Event acquire corresponds to the start of method call acquire on a Fork instance and event release to the end of method call release. This property guarantees that when a fork is being used, it cannot be used again
public class Phil implements Runnable {
    public Fork leftFork, rightFork;
    public void getLeftFork() { leftFork.acquire(); }
    public void releaseLeftFork() { leftFork.release(); }
    ... 
    public void run() {
        getLeftFork();
        getRightFork();
        eat();
        releaseLeftFork();
        releaseRightFork();
    }
}

Figure 9: Source code of dining philosophers without synchronization

until released. Any other thread attempting to call acquire on a Fork instance at state busy will be blocked. Thus EnforceMOP yields a correct and elegant implementation of the dining philosophers problem without any explicit synchronization mechanism.

Figure 10: Exclusive use of Forks Property in FSM

Deadlock Free Property: The above property only guarantees the correct usage of forks. Deadlock is possible when each philosopher takes his left fork at the same time. We use EnforceMOP to enforce a property to avoid deadlock in our implementation, as shown in Figure 11. The idea behind it is that we only allow at most four philosophers to attempt to eat at the same time, so at least one philosopher would be able to grab both forks and eat. Then he will release both forks and other philosophers will be able to eat. Event useLeftFork marks the start of method call getLeftFork, and event releaseLeftFork marks the end of method call releaseRightFork. Each state serves as a counter of how many philosophers are attempting to eat. At state Four any philosopher attempting to grab forks will be blocked until a previous philosopher releases all his forks. Unlike the previous property in Figure 10, this deadlock avoidance property is not parametric. It serves as a central coordinator to coordinate philosophers. With this property and the previous property in Figure 10, we are able to enforce the correct behavior of dining philosophers and avoid deadlocks at the same time, without using any synchronization in the source code.

4.1.5 Fair Thread Scheduler

In multithreaded programs fairness is a property of a thread scheduler which ensures every thread gets its turn to execute eventually. In practice the lack of fairness may cause thread starvation bugs [8,29].

Here we show how EnforceMOP can be used to ensure a simple thread scheduling fairness property. Consider a program executing two threads, where each thread executes a loop with the same number of iterations. In each loop iteration a method doWork is called. Inside the doWork method each thread sleeps a random interval of time (this is meant to simulate real scenarios where workload is unknown). If we run the program without controlling the schedules, it is possible that one thread progresses much faster than the other. At extreme, one thread may not even get scheduled to start running before another terminates. We can use EnforceMOP to specify and enforce a simple thread scheduling fairness property that is less restrictive than deterministic alternate execution, but still avoids starvation: as soon as one thread finishes an iteration of its loop, it gets blocked waiting for the other thread to also finish an iteration of its loop; once both threads complete their loop iteration, one of the threads will be (non-deterministically) allowed to start its next loop iteration, and so on. We can specify this prop-

Figure 11: Deadlock Avoidance Property in FSM

Figure 12: Fair Scheduler Property

Figure 13: Fair Scheduler FSM
4.2 Enforcing Specific Testing Schedules

Multithreaded programs exhibit different behaviors under different thread schedules. Thus, it is vital to have the ability to control thread schedules when performing unit testing. EnforceMOP can also be used as a testing framework to control thread schedules for each unit test. In that case, each property file is associated with some unit test, and serves as a thread schedule specification. In this section, we first present our experience with using EnforceMOP as a testing framework to specify schedules in multithreaded unit tests. Then we compare EnforceMOP with several other testing frameworks for multithreaded programs.

4.2.1 Experience

To evaluate the effectiveness of using EnforceMOP as a testing framework, we took existing multithreaded unit tests and translated them to use EnforceMOP. Most of those tests used sleep or other ad hoc synchronization mechanisms to control thread schedules. We first removed all the schedule control statements in those tests, and then wrote one property file for each testing schedule. We took the subject programs used in previous work [30, 43], and in total, we translated 185 tests, as shown in Table 3.

When using EnforceMOP to specify thread schedules for a given unit test, the event sequences are already known and fixed. So in most cases, there’s no need to use complex logic formalisms; it is sufficient to only simply state the events to be executed in order. Indeed, we have used ERE in most of the cases, since the event sequences in a schedule are trivially an ERE expression. In some other cases, we have used PTLTL to specify schedules. PTLTL can be used to check whether a condition about past holds when a new event occurs, so it is suitable for enforcing the order of events.

Although most unit tests are quite simple, there are still cases where one event may occur many times. EnforceMOP is able to deal with repeating events. For example, making use of the * and + ERE constructs, properties can be expressed where an event can occur multiple times. More details on how EnforceMOP handles repeating events are mentioned in the comparison with IMUnit in Section 4.2.2.

4.2.2 Comparison with IMUnit

IMUnit [30] is a framework used to specify and control thread schedules in multithreaded unit tests. An event in IMUnit is fired explicitly by inserting a method call in the test code. A schedule in IMUnit is given as an annotation within a unit test, and it consists of several orderings between events. For example, a \(\rightarrow\) b specifies event b should only happen when event a has already happened. We compare EnforceMOP with IMUnit in the following aspects.

Expressiveness: IMUnit is also built upon JavaMOP, but its underlying schedule logic is a fragment of PTLTL which does not support repeating events. Consider the same example in Figure 3. With IMUnit we can insert events around the put method call, but since the method call is made inside a loop, we cannot specify in the test schedule the exact number of occurrences of an event. As already shown in Figure 4 with the help of operator + in ERE, EnforceMOP is able to express such schedules. The paper [30] mentioned that there were a few more tests where IMUnit was not able to express the schedules because of repeating events. We have successfully used EnforceMOP to specify and enforce the desired test schedules for all those cases. In fact, in our previous examples for specifying general properties, many events are repeating events and can happen anywhere in the program. Unlike IMUnit, EnforceMOP does not use the exact code location to specify an event; instead, it uses pointcuts to match for events. This way, EnforceMOP supports repeating events as long as the chosen logic plugin supports them.

Performance: The performance of IMUnit was evaluated by comparing the time to run all tests with the time to run all the original sleep base tests. Since most of the sleep base tests are over estimating the time needed for sleep operations, IMUnit tests were able to provide over 3x speed up over the original tests. We repeated the same set of experiments here. We used EnforceMOP to translate all the sleep based tests IMUnit used in experiments and calculated the speedup of using EnforceMOP to enforce schedules versus the original tests. The results are shown in Table 4. We are able to achieve same or better speed up with EnforceMOP.

4.2.3 Comparison with MultithreadedTC

MultithreadedTC [43] is another unit testing framework, used to specify schedules in multithreaded tests using ticks. In multithreadedTC each test has to be written as a class, and each method in the class contains the code to be executed by a thread in a test. The test schedule is specified in terms of number of ticks with respect to a global logical clock. The method waitForTick takes a number as an argument, and it will block the current thread until the global clock reaches that number. The global clock will advance when all the threads are blocked.

Although MultithreadedTC is successfully applied on a number of tests [43], it requires users to change the original code at all, the schedule specification file (property) is in a separate file, so it is possible to have multiple schedules applied on a same test. Moreover, the schedule in MultithreadedTC is implicitly embedded using ticks. It may be non-trivial to infer a schedule from a MultithreadedTC test for a complicated test case. In terms of functionality, blocking events in MultithreadedTC are also implicit. Threads blocked by MultithreadedTC will be unblocked when all the threads are blocked. This makes it very hard to specify the scenario where one thread waits for another thread to get blocked using MultithreadedTC, while it is easy to do in EnforceMOP (and also in IMUnit).

4.2.4 Comparison with ConAn

ConAn [35] is a framework used to generate test driver code and schedules based on user provided scripts. Similar to MultithreadedTC, ConAn also employs ticks to specify
### 5. DISCUSSION

EnforceMOP is implemented by executing the incoming event one step ahead using a cloned monitor. Depending upon the chosen logic formalism to express properties, it may be possible that “one step lookahead” is not enough and could cause a deadlock, even though the property is enforceable. Consider the ERE property get* put. When event put happens in one thread, EnforceMOP has no way to know whether event get will happen in the future or not (because code reachability is an undecidable problem). Executing event put as soon as it occurs will not violate the property, but if event get happens afterward then the monitor will deadlock because event sequence put get violates the property. This deadlock can be avoided if EnforceMOP blocked the thread executing event put until all the occurrences of event get have happened. To achieve this, an exploration capability of EnforceMOP would be desirable. Whenever an event arrives and either blocking the current thread or not will not violate any property, record the current program location as a choice point and make a choice about whether to block current thread. After the current execution finishes, re-execute the program from the beginning until reaching the previous choice point, and make a different choice. This way all the possible event sequences will be enumerated so it can be checked which event sequences will obey all the properties without causing deadlocks.

In our experience of using EnforceMOP so far, we have not seen many cases where exploration would be needed. Consequently, we leave it as future work to be investigated if we see more scenarios where exploration would be useful.

### 6. RELATED WORK

Most existing work on runtime verification [1, 15, 16, 19, 25, 28, 34, 36] have hardwired specification languages. For example, Java-McC [34] uses a customized language for interval temporal logic and PaX [28] only supports LTL. Moreover, all existing runtime verification frameworks monitor rather than enforce properties. JavaMOP [15, 16] is a parametric runtime verification framework which supports multiple logic formalisms. EnforceMOP is extending JavaMOP with the ability to enforce properties in multithreaded programs.

Many approaches have been proposed to test and verify multithreaded programs, such as static/dynamic analysis [12, 23, 24], testing [18, 22, 30, 35, 41–43], and state space exploration [13, 26, 37]. EnforceMOP does not aim to find bugs; rather, it is used as a testing framework to specify schedules in multithreaded unit tests. ConAn [35] uses a scripting language for specifying method sequences and test schedules to generate test driver code for multithreaded programs. MultithreadedTC [43] employs ticks to specify thread schedules. Our earlier work IMUnit [30] proposed a language with event annotations to specify schedules in multithreaded unit tests. EnforceMOP supports all the features of the above frameworks, as described in Section 4.2. Moreover, with the underlying power of various logic formalisms, EnforceMOP can enforce complex schedules precisely and concisely.

Our approach follows the same line of research on automated enforcement of synchronization constraints [10, 11, 14, 20]. Compared with previous approaches, EnforceMOP works on a popular programming language (Java) and supports arbitrary events defined by users. Moreover, parametric events and various formalisms give EnforceMOP more flexibility to define and enforce synchronization properties.

A data-centric synchronization approach to avoiding certain concurrency errors is proposed in [21, 45]. Their idea is to group fields into atomic sets and automatically enforce the atomicity when accessing those fields at runtime. EnforceMOP follows the same idea of semantic synchronization, but, with its various logic formalisms, EnforceMOP is able to express more complex properties than atomicity.

### 7. CONCLUSION

Multithreaded programs are hard to develop and test. In this paper we presented EnforceMOP, a novel framework for specifying and enforcing complex properties in multithreaded programs. We implemented EnforceMOP on top of JavaMOP, so it supports parametric properties and various logic formalisms with different expressiveness. EnforceMOP is used in two kinds of applications. First, as a mechanism to enforce general properties which are not bound to any program locations or thread schedules. Second, as a framework to enforce specific thread schedules in unit tests and compared with several other testing frameworks. Results showed that EnforceMOP is able to enforce both general properties and specific schedules effectively and efficiently.

### 8. ACKNOWLEDGMENT

We thank Darko Marinov for extensive discussions about this work. This work was supported in part by the NSF grant CCF-1218605, the NSA grant H98230-10-C-0294, the DARPA HACMS program as SRI subcontract 19-000222 and the Rockwell Collins contract 4504813093.
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